



Attorney Docket No.: ChG\_00107

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors: Suresh C. Srivastava, Satya P. Bajpai and Kwok-Hung Sit  
Assignee of Part interest: ChemGenes Corporation  
Application Serial No.: 10/768,996  
Filed: January 30, 2004  
Title: NOVEL OLIGONUCLEOTIDES AND RELATED COMPOUNDS  
Art Unit: 1642  
Examiner: Brandon J. Fetterolf, Ph.D.

**CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

I hereby certify that this correspondence is included in an envelope with the United States Postal Service as Express Mail under Label No. EG 371685823 US on the date signed and addressed to:

Mail Stop Sequence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Yupinder Anand Y. Anand 10/19/2010  
Signature (Name) Date

**In the Specification**

Please replace the sequence listing in the above noted application with the Sequence Listing enclosed herewith.

The enclosed Sequence Listing is submitted on paper as well as on a Compact Disc. The content of the Sequence Listing in CRF is identical to the Sequence Listing submitted on paper.

No new matter is introduced by this submission.

Dated: October 19, 2010

Respectfully,

By Yupinder Anand  
Indu M. Anand  
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## Notice to Comply

Application No. 10768996	Applicant(s) SRIVASTAVA ET AL.
Examiner Misook Yu	Art Unit 1643

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- 7. Other:

#### Applicant Must Provide:

- An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- An initial or substitute paper copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application.
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (571) 272-0731 or (571) 272-0951

For CRF Submission Help, call (571) 272-2510

PatentIn Software Program Support

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PatentIn Software is Available At [www.USPTO.gov](http://www.USPTO.gov)

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR REPLY**

Misook Yu SPE Art Unit: 1643	/Misook Yu/
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<b>Examiner-Initiated Interview Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/768,996	SRIVASTAVA ET AL.	
	Examiner Misook Yu	Art Unit 1643	
<b>All Participants:</b> (1) <u>Misook Yu</u> . (2) <u>Indu Anand</u> .	<b>Status of Application:</b> _____		
<b>Date of Interview:</b> <u>4 October 2010</u>	<b>Time:</b> _____		
<b>Type of Interview:</b> <input checked="" type="checkbox"/> Telephonic <input type="checkbox"/> Video Conference <input type="checkbox"/> Personal (Copy given to: <input type="checkbox"/> Applicant <input type="checkbox"/> Applicant's representative)			
<b>Exhibit Shown or Demonstrated:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, provide a brief description:			
<b>Part I.</b>			
<b>Rejection(s) discussed:</b>			
<b>Claims discussed:</b>			
<b>Prior art documents discussed:</b>			
<b>Part II.</b>			
<b>SUBSTANCE OF INTERVIEW DESCRIBING THE GENERAL NATURE OF WHAT WAS DISCUSSED:</b> <i>CRF submitted on 01/31/2008 has been found to be flawed technically and has not been entered. Applicant's representative stated that applicant would file a new CRF.</i>			
<b>Part III.</b>			
<input type="checkbox"/> It is not necessary for applicant to provide a separate record of the substance of the interview, since the interview directly resulted in the allowance of the application. The examiner will provide a written summary of the substance of the interview in the Notice of Allowability. <input checked="" type="checkbox"/> It is not necessary for applicant to provide a separate record of the substance of the interview, since the interview did not result in resolution of all issues. A brief summary by the examiner appears in Part II above.			
<i>/Misook Yu/ Supervisory Patent Examiner, Art Unit 1643</i>			
<i>(Applicant/Applicant's Representative Signature – if appropriate)</i>			